

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re the application of: David J. Otway et al.

Application number: 09/617,380

Filed: July 17, 2000

For: STRONG MUTUAL AUTHENTICATION OF

DEVICES

Attorney Docket No.: CXT-052

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Art Unit: 2134

Examiner: Heneghan, Matthew E.

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Technology Center 2100

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date listed below.

Date: May 4, 2004

Signature: Listendu 4 M Christophen J. McKenna)

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, Applicants hereby direct the attention of the Patent and Trademark Office to the references listed on the attached Form PTO/SB/08. We enclose copies of references A30-A56, B7-B13 and C13-C16. Applicants respectfully request that the references be expressly considered during the prosecution of this application and be made of record therein.

In addition, Applicants direct the Examiner's attention to the following co-pending

United States patent applications, which are related to the instant application, and which present
for examination claims which the Examiner may consider substantially similar to claims
presented in the instant application:



Sheet

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE.

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FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

of

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Complete if Known			
Application Number 09/617,380			
Filing Date	July 17, 2000		
First Named Inventor	David J. Otway		
Art Unit	2134		
Examiner Name Heneghan, Matthew E.			
Attorney Docket Number	CXT-052		

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A30	4,649,410	03-10-1987	Ohashi		
	A31	4,807,029	02-21-1989	Tanaka		
	A32	4,887,204		Johnson et al.		
	A33	4,937,784	06-26-1990	Masai <i>et al</i> .		
	A34	5,014,221	05-07-1991	Mogul]	
	A35	5,161,015	11-03-1992	Citta et al.		
	A36	5,164,727	11-17-1992	Zakhor et al.	-	
	A37	5,175,852	12-29-1999	Johnson et al.		MAY 1 1 2004
	A38	5,202,971	04-13-1993	Henson et al.		MIAT I I COOT
	A39	5,229,864	07-20-1993	Moronaga et al.	Τ.	L L C d 0100
	A40	5,305,440	04-19-1994	Morgan et al.	Teq	hnology Center 2100
	A41	5,325,527	06-28-1994	Cwikowski et al.		
	A42	5,329,619	07-12-1994	Page et al.		
	A43	5,341,477	08-23-1994	Pitkin et al.		
	A44	5,341,478	08-23-1994	Travis, Jr. et al.		
	A45	5,367,688	11-22-1994	Croll		
	A46	5,440,719	08-08-1995	Hanes et al.		
	A47	5,515,508	05-07-1996	Pettus et al.		
	A48	5,583,992	12-10-1996	Kudo		
	A49	5,668,876	09-16-1997	Falk et al.		
	A50	5,671,354	09-23-1997	Ito et al.		
	A51	5,881,226	03-09-1999	Veneklase		
		6,078,908	06-20-2000	Schmitz		
	A53	6,289,461 B1	09-11-2001	Dixon		
	A54	6,131,116	10-10-2000	Riggins et al.		
	A55	2001/0056547 A1	12-27-2001	Dixon		
	A56	6,609,206 B1	08-19-2003	Veneklase		

	FOREIGN PATENT DOCUMENTS						
Examiner Cite		Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant		
Initials*	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T⁵	
	B7	WO 93/15457 A1	08-05-1993	PARALLEL PCs, INC			
	B8	WO 94/28670 A1	12-08-1994	NOKIA			
				TELECOMMUNICATIONS OY			
	B9	EP 0 643 514 A2	03-15-1995	INTERNATIONAL BUSINESS			
				MACHINES CORPORATION			
	B10	EP 0 734 144 A2	09-25-1996	SIEMENS			
1		·	<u> </u>	AKTIENGESELLSCHAFT			

Examiner	Date
Signature	Considered

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First Named Inventor David J. Otway

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Sheet 2 of 2 Attorney Docket Number CXT-052

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Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁸
	B11	NL 1007409	11-18-1997	KONINK PTT NEDERLAND NV		ABSTR
	B12	EP 0 875 871 A2	11-04-1998	SCHMITZ, KIM		
	B13	WO 98/52344 A1	11-19-1998	TELEFONAKTIEBOLAGET LM ERICSSON		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

i	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
	C13	"Network Neighborhood", http://www.internet.com, © 1999 internet.com			
	C14	Howard Millman, "Give Your Computer the Finger" Computerworld, March 27, 2000			
	C15	B. Clifford Neuman, "Proxy-Based Athorization and Accounting for Distributed Systems", © IEEE, May 25, 1993, pages 283-291			
	C16	Win95 Tutorial: Indes of Topics, http://orion.valencia.fl.us/tutorials/Win95demo/net.html, printed 12/27/99 (2 pages)			
			-		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

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Examiner Date Signature Considered		15 .	
Signature Considered		Date	1
	Signature	 Considered	

Serial Number: 09/617,380 Art Unit: 2134

Our Reference No.	Serial No.	Filing Date
CXT-028	08/189,481	01-28-1994
CXT-050	09/704,920	11-02-2000
CXT-051	09/579,910	05-26-2000
CXT-057	09/706,117	11-03-2000



This Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

Applicants submit that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and respectfully request the Examiner to consider the listed references.

Please charge our Deposit Account No. 12-0080 in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper

Serial Number: 09/617,380 Art Unit: 2134

hereafter filed in this application by this firm) to our Deposit Account No. 12-0080, under Order

Respectfully submitted,

Date: May 4, 2004

Christopher J. McKenna, Esq.

Registration No. 53,302

Attorney/Agent for Applicants

LAHIVE & COCKFIELD, LLP 28 State Street Boston, MA 02109 (617) 227-7400

JDL/CJM/jem **Enclosures**